



FAX NO.:

703-746-4060



FACSIMILE COVER SHEET

DATE:

January 26, 2004

TO:

USPTO

Response to File Missing

Parts

FROM:

Jeffrey G. Toler, Reg. No.

38,342

RE U.S. App. No.: 10/654,858

Filing Date: 09/04/2003

Applicant(s): Jones, et al.

Atty Dkt No.: 1033-SS00406

Title:

ENHANCED NETWORK MANAGEMENT SYSTEM

NO. OF PAGES (including Cover Sheet): 10

MESSAGE:

Attached please find:

a Transmittal Form (1 pg.);

a copy of the Notice to File Missing Parts of Application (2 pgs.);

a Fee Transmittal Form (in duplicate) (2 pgs); and

a combined Declaration and Power of Attorney, executed (4 pgs).

5000 Plaza On The Lake Suite 265 AUSTIN, TEXAS 78746

Tel: (512) 327-5515 Fax: (512) 327-5452 www.tla-law.com

CONFIDENTIALITY NOTE

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kenneth R. Jones, Brian A. Gonsalves

Title:

ENHANCED NETWORK MANAGEMENT SYSTEM

App. No.:

10/654,858

Filed:

09/04/2003

Examiner:

(Not yet assigned)

Group Art Unit:

2141

Atty. Dkt. No.: 1033-SS00406

MS MISSING PARTS Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed November 25, 2003, please find enclosed herewith:

 \boxtimes a Transmittal Form (this page);

 \boxtimes a copy of the Notice to File Missing Parts of Application;

 \bowtie a Fee Transmittal Form (in duplicate); and

 \boxtimes a combined Declaration and Power of Attorney, executed.

Respectfully submitted,

1-26-2004

Jeffrey G. Toler, Reg. No. 38,342

Attorney for Applicant(s)

TOLER, LARSON & ABEL, L.L.P.

P.O. Box 29567

Austin, Texas 78755-9567

(512) 327-5515 (phone)

(512) 327-5452 (fax)

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents (h

January 26, 2004.

Pia R. Lorenzana Typed or Printed Name

Signature



PAGE 03/09

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Parent and Trademark Office: Address COMMISSIONER FOR PATENTS FO. Box 1450 Advandas, Viginia 22313-1440 www.pplc.gov

APPLICATION NUMBER

FILING OR 371 (c) DA'TE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/654,858

09/04/2003

Ken R. Jones

1033-SS00406

34456 TOLER & LARSON & ABEL L.L.P.

PO BOX 29567 AUSTIN, TX 78755-9567 **CONFIRMATION NO. 4667**

FORMALITIES LETTER

? ([10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10] | 10]

OC000000011353619

Date Mailed: 11/25/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/28/2004 SMINASS1 00000005 502469

10654858

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051

770.00 DA 130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27),
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$564 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1464 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- · Total additional claim fee(s) for this application is \$564
 - \$258 for 3 independent claims over 3.
 - = \$306 for 17 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03) Approved for use through 07/31/2009, OMB 0551-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE												
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control in												
FEE TRANSMITTA	L	Applie										
		Application Number Filing Date				10/654,858 09/04/2003						
for FY 2004												
Effective 10/01/2003. Patent fees are subject to ennual revision.	.	First Named Inventor										
Applicant claims small entity status. See 37 CFR 1.27		Examiner Name			(Not yet assigned)	<u></u>						
		Art Unit			2141							
TOTAL AMOUNT OF PAYMENT (\$) 1504.00		Attorney Docket No.			1033-\$\$00406							
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)											
Check Credit card Money Other None	3. ADDITIONAL FEES											
Order Large Entity Small Entity Deposit Account:												
Dengelt	Fee Code	Fee e (\$)		Fee (\$)	Fee Description	Fee Paid						
Account Number 50-2469	1051	,	2051		Surcharge - late filing fee or ceth	130						
Deposit TOLED LADSON & ARCHILD	1052	2 50	2052		Surcharge - late provisional filing fee or							
Name	1053	3 130	1053		cover sheet Non-English specification							
The Director is authorized to: (check all thet apply) Charge fee(s) indicated below Credit any overpayments		2,520			For filing a request for ex parte reexamination							
Cradit any overpayments Charge fee(s) Indicated below Cradit any overpayments Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action							
Charge fee(s) indicated below, except for the filing fee	1805	1.840*	1805		Requesting publication of SIR after							
to the above-identified deposit account.					Examiner action							
FEE CALCULATION	1251		2251	55 210	Extension for reply within first month Extension for reply within second month							
1. BASIC FILING FEE	1252 1253		2252		Extension for raply within third month							
Large Entity Small Entity F <u>ee Fee Fee Fee Fee Pee Coscription</u> Fee Paid		1.480	2254	740	Extension for reply within fourth month							
Code (\$) Code (\$)		2,010	2255		Extension for reply within fifth month							
1001 770 2001 385 Utility filing fee 770 1002 340 2002 170 Design filing fee	1401	•	2401	,	Notice of Appeal							
1002 340 2002 170 Design filing fee 1003 580 2003 265 Plant filing fee	1402		2402		Filing a brief in support of an appeal							
1004 770 2004 385 Reissue filing foe	1403		2403		Request for oral hearing							
1005 160 2005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding							
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to revive - unavoidable							
	1453	1,330	2453	665	Petition to revive - unintentional							
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or relasue)							
Extra Claims below Fee Paid	1502	2 480	2502	240	Design lesue fee							
Total Claims 37 .20** = 17 x 18 = 306 Independent 6 - 3** = 8 x 86 = 258	1503	640	2503	320	Plant issue fee							
10 10 10 10 10 10 10 10	1460		1460		Petitions to the Commissioner							
	1807	7 50	1807	50	Processing fee under 37 CFR 1.17(q)							
Large Entity Small Entity Fee Fee Fee Fee Fee Pescription	1806	3 160	1806		Submission of Information Disclosure Stmt							
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40						
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection							
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1040	מדל ו	2011	205	(37 CFR 1.129(a))							
1204 86 2204 43 "" Reissue independent claims	1810	770	2810	350	For each additional invention to be examined (37 CFR 1.129(b))							
over original patent	180	1 770	2801	385	Request for Continued Examination (RCE)							
1205 18 2205 9 "" Reissue claims in excess of 20	1802	2 900	1802	900	Request for expedited examination							

SUBMITTED BY			· · · · · · · · · · · · · · · · · · ·		(Complete ((f applicable))
Name (Print/Type)	Jeffrey G. Toler		Registration No. (Attorney/Agent)	38,342	Telephone	512-327-5515
Signeture	16h 1	Lake			Date	1-26.2004

Other fee (specify)

"Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

De included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and authoriting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(\$) 564.00

SUBTOTAL (2)

**or number previously paid, If greater; For Reissues, see above